ABSTRACT

Background: Low back pain has recently been reported as the leading cause for disability worldwide. The diagnostic value of imaging has been estimated low. Led by own positive experience, however, we hypothesized that MRI can detect signs of facet joint pain.

Methods: 15 patients and 15 controls were retrospectively assessed by two readers. They compared de-identified T2 weighted lumbar spine MRI scans. Facet joint size, shape, angle, joint space signal and degeneration were rated. Pain aetiology was proven with the diagnostic gold standard of medial branch blocks.

Results: Facet joint angles and joint diameters were significantly larger in symptomatic patients, who also showed significantly higher grades of degeneration but no difference in joint space distances or shape or signal intensity.

The readers were able to correctly identify symptomatic patients with good interrater reliability (kappa 0.5, sensitivity and specificity 0.87-0.93), positive (LR+ = 6.7-7.2) and negative likelihood ratios (LR−=0.15).

Conclusions: Contrary to recent publications, we could demonstrate differences between asymptomatic and symptomatic subjects showing the latter to have larger joints and more signs of degeneration. One can conclude from the strong LR+ and LR− values that MRI is a useful investigation to rule in or rule out facet pain.

INTRODUCTION

Despite being the worldwide leading cause for disability [1], the precise etiology of low back pain is often unclear. Since the costs of low back pain are thought to make it one of the most expensive health issues [2] with costs of over $90 billion in the year 1998 in the US [3] efforts at elucidating the causes are worthwhile.

Potential pain generators in the lumbar spine are manifold [4] and the source can often not be specified [5,6]. Besides intervertebral disc degeneration, infection or fracture, degenerative changes in the posterior stabilizing column (facet joints, interspinous ligaments and paraspinal muscles) may be the cause of low back pain.
Degenerative spondylolisthesis is common and may become more important with aging populations [8]. The proportion of patients with low back pain in whom facet joints are causative has been estimated to be 5-15% [9] has been shown to increase with age [10] and may amount up to 50% in chronic pain cohorts [11].

The facet joints protect the intervertebral discs from forward motion [12] or excessive rotational strain [8] and are subjected to higher loads as the discs shrink with age. The form of the facet joints may predispose to mechanical instability.

Mere degeneration is thought not to be pathological [13]. Repetitive stress or cumulative low-level trauma can lead to inflammation and joint capsule distension [9] or inflammatory reactions in the retrodural space of Okada [14].

Clinical signs or tests are thought to be not specific for facet joint pain [5,9,15]. Imaging is recommended for chronic lower back pain [16] or in presence of red flag symptoms suggestive of inflammatory or tumorous etiology or neurological deficits [17,18]. Good radiographic diagnostic criteria for facet joint pain are lacking [4]. Furthermore, many asymptomatic patients show facet joint degeneration [4] while other studies show no significant correlation of MRI and disability in facet joint osteoarthritis [19]. Also, the amount of slippage is often underestimated due to the supine position in the scanner. Here, intraarticular fluid in the facet joints can be a sign of instability [20-22]. There exists no single accepted grading scale for facet joint degeneration but a multitude of competing systems [23].

MRI is regarded as the modality of choice for evaluation of lumbar facet joint disease [24], yet it is not recommended in a European guideline [17]. Facet joint activity in SPECT/CT may be discordant from diagnosis [25,26] and CT has been shown to be not helpful [27,28].

To date, the gold standard for the diagnosis of facet joint pain has been shown to consist of repeated controlled anesthetic blocks of the joint innervation [9,13,29]. The established technique has been described and recommended by the International Spine Intervention Society [30].

Our own experience with MRI with seemingly good correlation of clinical symptoms and MRI appearance provided the initiative to look for the value of MRI signs in distinguishing patients with proven lumbar facet joint pain from controls.

We hypothesized that MRI is able to detect signs that predict facet joint pain in a sample of 15 patients and 15 controls.

METHODS

In a retrospective analysis we compared de-identified lumbar spine MRI scans of chronic facet joint pain patients with controls in an outpatient clinic setting. The rules for retrospective and de-identified evaluations of our institutional Ethics Committee were adhered to.

The 15 patients with clinically proven zygapophysial pain (>50% pain relief after controlled medial branch blocks) have been described in detail [31]. 15 consecutive patients of one neurosurgical center presenting with low back pain on one side (left or right) for a minimum of 3 months were included. The pain characteristics had to be suggestive of zygapophysial joint origin. Excluded were patients with radicular pain (e.g., with straight leg test positive) or radiculopathy (motor deficit or sensory changes), with a disc herniation consistent with the complaints, or discitis, spondylodiscitis or an oncologic disease affecting the spine or patients with a history of lumbar spine surgery. Also patients with a spondylolisthesis of Meyerding grade 2 or more were excluded.

15 consecutive controls were selected by means of the electronical medical record
system of the outpatient clinic. Search term was “normal” in the context of lumbar spine imaging. Exclusion criteria were chronic lumbago or facet joint origin of pain. The control’s diagnoses ranged from positional peripheral nerve compression to neuritis or short time lumbago (Table 1).

To facilitate anonymization and exclude possible bias, the examinations were made comparable by exclusion of "unnecessary" scans/levels, so that each subject should include only T2 weighted sagittal and transversal slices (only lumbar 4/5 and lumbosacral levels).

Two readers (WF and SK, each with over 15 years of experience in MRI) blinded to the clinical context rated the examinations independently. The order of presentation was randomized. As described earlier [31], bilaterally and separately facets were evaluated (Figure 1).

Regarding to:

• joint angle (measured transversely against the sagittal plane, figure 1a),

<table>
<thead>
<tr>
<th>Case Nr</th>
<th>symptomatic level</th>
<th>side</th>
<th>age</th>
<th>sex</th>
<th>Diagnosis</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>h</td>
<td>h</td>
<td>25</td>
<td>M</td>
<td>Short duration lumbago after home relocation, remitted</td>
</tr>
<tr>
<td>5</td>
<td>h</td>
<td>h</td>
<td>28</td>
<td>M</td>
<td>Peripheral nerve irritation</td>
</tr>
<tr>
<td>7</td>
<td>h</td>
<td>h</td>
<td>42</td>
<td>F</td>
<td>Chronic diffuse pain syndrome (fibromyalgia), in remission at the end of 2015</td>
</tr>
<tr>
<td>8</td>
<td>h</td>
<td>h</td>
<td>68</td>
<td>M</td>
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</tr>
<tr>
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<td>h</td>
<td>h</td>
<td>38</td>
<td>F</td>
<td>Restless legs syndrome</td>
</tr>
<tr>
<td>13</td>
<td>h</td>
<td>h</td>
<td>57</td>
<td>M</td>
<td>Iliosacral joint pain and trochanteric bursitis</td>
</tr>
<tr>
<td>14</td>
<td>h</td>
<td>h</td>
<td>62</td>
<td>F</td>
<td>Iliosacral joint pain and trochanteric bursitis</td>
</tr>
<tr>
<td>16</td>
<td>h</td>
<td>h</td>
<td>46</td>
<td>F</td>
<td>Somatoform pain disorder</td>
</tr>
<tr>
<td>19</td>
<td>h</td>
<td>h</td>
<td>33</td>
<td>F</td>
<td>Paresthesia of the feet</td>
</tr>
<tr>
<td>20</td>
<td>h</td>
<td>h</td>
<td>51</td>
<td>F</td>
<td>Radiculitis of left nerve root S1</td>
</tr>
<tr>
<td>23</td>
<td>h</td>
<td>h</td>
<td>28</td>
<td>M</td>
<td>Inguinal pain, probably coxarthrosis</td>
</tr>
<tr>
<td>25</td>
<td>h</td>
<td>h</td>
<td>22</td>
<td>M</td>
<td>Short time lumbago and movement restriction, remitted</td>
</tr>
<tr>
<td>26</td>
<td>h</td>
<td>h</td>
<td>17</td>
<td>F</td>
<td>Peripheral nerve compression</td>
</tr>
<tr>
<td>28</td>
<td>h</td>
<td>h</td>
<td>35</td>
<td>F</td>
<td>Pseudoradicular pain syndrome</td>
</tr>
<tr>
<td>30</td>
<td>h</td>
<td>h</td>
<td>27</td>
<td>F</td>
<td>Iliosacral joint pain</td>
</tr>
</tbody>
</table>

Table 1: Subjects and clinical symptoms. In the upper part of the table, the controls with normal MRI examinations are listed, the stated diagnosis was the reason for imaging. In the lower part of the table, the patients with zygopophysial pain are listed.

The symptomatic level is given as h=healthy, 45=facet joint L4/5, L5/S1=facet joint L5/S1. The symptomatic side is given as h= healthy (no facet pain), l= left or r=right. The age is given in years.
• facet joint form (c-shaped, j-shaped or flat, see figure 2),
• largest transversal diameter (Figure 1a),
• smallest joint space distance in the central 80% of the facet (rounded to mm, see figure 1b),
• joint space signal intensity (hypo- or hyperintense, measured in the median slice, see figure 3),
• degeneration with hypertrophy/osteophytes/erosions (graded as 0=normal, 1=small/mild, 2=moderate, 3=large/severe, see figure 4)

STATISTICAL ANALYSIS

The radiological measurements, age and sex were regarded as independent variables. Symptom status (symptomatic/asymptomatic) and symptom localization were deemed dependent variables. Descriptive statistical analysis was performed with Microsoft Excel (2003), further analysis was performed with “R” version 3.2.3, R Foundation for Statistical Computing, 2015 [32]. Univariate and multivariate regression analyses were performed and Cohen’s kappa was computed.

Results were seen as significant when p was <0.05.

RESULTS

Chronic facet joint pain patients were older (mean age 53 y, SD 14.1) than controls.
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with a mean age of 38 years (SD 15.4, p=0.01) (Table 1). Patients did not significantly differ from controls regarding their sex: Patients were 8 male, 7 female, while controls were 6 male, 9 female.

The measurement of facet joint angles and joint diameters showed significant differences (Table 2) between chronic facet joint pain patients and controls with larger angles at L4/5 (p<0.01) in patients and larger diameters in patients both at L4/5 and L5/S1 (p<0.001). This difference was not due to age, which was confirmed by a regression analysis: A statistically significant (p=0.007) influence of facet joint diameter and angle (p=0.02), but not of age was shown on symptom status. However, joint space distances were not significantly different for chronic facet joint pain patients and controls (p=0.6).

Figure 3: Case Nr 1: Zygapophysial pain L4/5 left. The right facet joint shows hypointense signal (-) whereas the left side is hyperintense (+). Hypertrophy/degeneration is graded as grade 2-3 on the right and 2 on the left side.

Figure 4: Case 4: Zygapophysial pain L5/S1 right. Figure 4a depicts the asymptomatic level L4/5 (degeneration grade 0), Figure 4b shows the symptomatic level L5/S1 with more degenerative changes on the symptomatic right side (short arrow, grade 3) than the left side (long arrow, grade 1).
Regarding signs of hypertrophy and degeneration and after correction for age, patients showed significantly ($p=0.03$) higher grades than controls. Both readers graded chronic facet joint pain patients significantly higher than controls ($p<0.001$ without correction for age).

The readers rated facet joint form congruently in 69% (kappa 0.32-0.6) and joint space signal congruently and 84% of the joints (kappa 0.64). Congruently rated joints had an f-shape in 36%, c-shape in 26% and j-shape in 8%. The joint space was congruently rated as hyperintense in 25% and as hypointense in 59% of the joints. However, neither shape ($p=0.06$) nor signal intensity ($p=0.2$) correlated significantly with symptomatology.

The readers were able to correctly identify chronic facet joint pain patients and controls in all cases (kappa=1) as well as to correctly identify the side in 67-77% (kappa=0.43) and height in 77% (kappa=0.44) of the symptomatic facet joint, resulting in excellent [33] inter-reader reliability regarding differentiation of controls and patients, moderate inter-reader reliability for spatial identification. The results are shown in table 3. Positive (LR+) and negative likelihood ratios (LR-) can be computed: For the simple distinction between symptomatic patients and controls, the LR+ is 6.7-7.2 and the LR- is 0.15.

**DISCUSSION**

Contrary to recent publications, we could demonstrate differences between patients with chronic facetogenic pain and controls and show interesting discrepancies regarding facet joint MRI measurements. Especially the grading for degeneration and measurement of facet joint angles at L4/5 differed. Concerning the importance of facet joint disease in the etiology of low back pain and the massive load of back pain on global burden of disease [1], even small contributions of MRI to the definition of etiology are important. The single aspects of our results will be discussed below.

Correctly differentiating chronic facet joint pain patients from controls. The literature is rather pessimistic regarding diagnosis of low back pain: “None of the tests for facet joint pain were found to be informative.” [5]. CT has been said to have no place in the diagnosis of facet joint pain [27]. Other promising modalities such as SPECT/CT have shown discordance with therapeutic decisions in clinical settings [25]. Repeated controlled medial branch blocks, however, are the established gold standard for diagnosis of facet joint pain [34,29].

Sensitivity and specificity against this gold standard as well as inter-reader reliability

| Table 2: Facet joint measurements of chronic facet joint pain patients and controls. |
|---------------------------------|--------|--------|--------|--------|--------|--------|
| | L 4/5 | | L5/S1 | | | |
| | Angle | Jdiameter | JSDiameter | Angle | Jdiameter | JSDiameter |
| controls | Mean 46.6 | 18 | 3.5 | 53.3 | 18.7 | 3.5 |
| | SD 6.4 | 1.6 | 0.5 | 8 | 1.9 | 0.7 |
| facet joint pain patients | Mean 52 | 21 | 3.4 | 55.4 | 21.6 | 3.5 |
| | SD 10.7 | 1.9 | 0.8 | 12.6 | 3.1 | 0.7 |
| p (t-Test) | <0.01 | <0.0001 | 0.8 | 0.55 | <0.001 | 0.92 |
| Abbreviations: JDiameter: Diameter of the facet joint, JSDiameter: Joint space measured as the smallest joint space distance in the central 80% of the facet joint. SD: Standard deviation. P: Probability of error of the used t-Test |

| Table 3: Test performance of the two readers. |
|---------------------------------|--------|--------|--------|--------|
| Facet pain vs. Controls | sensitivity | specificity | sensitivity | specificity |
| Reader 1 | 0.93 | 0.87 | 0.53 | 0.87 |
| Reader 2 | 0.87 | 0.87 | 0.4 | 0.8 |

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are good in our study, so that one can conclude with good LR+ and LR- values [35,36] that MRI of the facet joints is a useful investigation to rule in or rule out facet pain. One has to concede, however, that the design of our study with clear cut differences between controls and facet joint pain patients in a neurosurgical outpatient clinic may exaggerate the promise of MRI.

This becomes evident with the more challenging task to correctly identify the locus of the symptomatic facet joint. Here the sensitivity and specificity are much weaker, so that with application of the abovementioned criteria, MRI is not useful to localize the problem under blinded conditions. This might be due to the well-known fact that it is easier to read and to interpret imaging data with the knowledge of the clinical findings than without; a realization of Bayes theorem.

**Grading of facet joint degeneration**

Osteophytes have been thought to represent adaptive referably [13], however, our data with significantly higher grading for symptomatic joints point at degenerative changes that may be related to symptoms. Bogduk claims that "This evidence precludes degeneration from being used as a diagnosis for spinal pain." [13]. However, at least in our sample, degenerative changes are correlated with chronic facet joint pain. Thus it is important to grade facet degeneration when reading MRI of the spine in lumbar pain patients.

**Size and shape of the facet joint**

Correlating with signs of secondary hypertrophy and degeneration, the size of the joint may be associated with symptoms: Chronic facet joint pain patients tend to have larger joints than controls.

The shape and angle of the facet joint defines it function and also its liability to injury [12]. However, our own data were acquired with good inter-reader variability, but did not show correlation between shape and symptoms.

**Facet joint angle**

It has been shown that increased angles might predispose to slippage and degeneration [8]. Our data show larger angles in symptomatic patients. However the correlation of facet joint angles with symptoms is significant only at level L4/5. An ideal angle of 45% as a compromise of anterior load bearing and stabilization against rotation is shown in our controls at L4/5. In chronic facet joint pain patients, the angle is larger.

**Joint space signal and distance**

Intraarticular fluid has been thought to be relevant because it hints at facet joint instability [21,22]. This in turn may alter operative approaches to favour stabilization [20] over mere decompression or denervation. However, our data did not show correlation of joint space signal and symptoms.

Joint space distance might hint at degeneration with decreased cartilage layer. The mere joint space distance however has not been associated with symptoms in our cohort.

**Validity of the diagnostic tool of medial branch block**

A placebo effect of injections has been described, and indeed, hetero suggestion can be used as a powerful tool to support therapeutic procedures [24]. However, it has been shown that controlled (referably repeated) medial branch blocks are the diagnostic procedure of choice to diagnose facet joint pain [34,29]. Thus this procedure was used as the gold standard, against which the MRI measurements were compared.
LIMITATIONS

Clearly, the retrospective nature of our study entails the disadvantage not to provide healthy controls, but rather controls with normal MRI. Exclusion criteria were chronic lumbago or facet joint origin of pain. Also the small sample size limits generalizations: We could show differences in our sample—however, the results should ideally be replicated prospectively with a larger sample and healthy controls to provide a reliable evaluation. We did not age match patients and controls, but merely searched for normal MRI scans. Thus the age differences found in our study are due to selection bias and may not be evaluated further. It has been shown that age is the strongest predictor of degeneration [13]. But the symptomatic patients were identified according to the clinical gold standard of controlled anesthetic blocks, so that diagnosis and localization is regarded as robust. Furthermore, age correction was applied in statistical analysis where possible, to correct for age effects.

The inclusion of patients with symptoms related to etiologies other than facet joint pain provides a naturalistic setting and matches real world situations better than inclusion of totally asymptomatic controls.

We had earlier assessed the chronic facet joint patients of this study. The earlier study did not use controls but the contralateral side as a sort of internal comparator. This however was deemed unsatisfactory since only comparison of leading cause for pain with lesser symptomatic joints was possible. Thus the new study with inclusion of controls and new blinding as well as new reading of symptomatic patients was initiated. The time span of a year between both studies was deemed to be sufficient to rule out recall bias and assume correct blinding.

CONCLUSION

MRI is able to detect signs that predict facet joint pain. Contrary to recent publications, we could demonstrate differences between asymptomatic and symptomatic subjects showing the latter to have larger joints and more signs of degeneration.

One can conclude from the strong LR+ and LR- values that MRI is a useful investigation to rule in or rule out facet pain. However, the exact localization of the cause of pain has not been possible.

REFERENCES


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